PATENT APPLICATION

1.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of

Docket No: Q76416

Shigeyuki AINO, et al.

Appln. No.: 10/612,929

Group Art Unit: 2184

Confirmation No.: 6920

Examiner: Unknown

Filed: July 7, 2003

For: INFORMATION PROCESSING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. U.S. Patent Application Publication No. 2002/0065996 A1 published May 30, 2002, to Garnett et al.
- 2. European Patent Application No. 0 411 805 B1 published October 9, 1996, to Digital Equipment Corporation.
- 3. European Patent Application No 0 757 315 A2 published February 5, 1997, to Tandem Computers Inc.
- 4. WO 00/60463 published October 12, 2000, to Marathon Technologies Corporation.

AINO et al.

Appln. No. 10/612,929

Information Disclosure Statement

One copy of each of the listed documents, other than any U.S. patents and patent

publications, is submitted herewith along with a copy of the European Search Report dated

October 9, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date; (2) Before the mailing date of the first Office Action

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: November 7,2 003

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Substitute for Form 1449 A & B/PTO	Complete if Known			
Substitute for Form 1407 II & Diff 10	Application Number	10/612,929		
INFORMATION DISCLOSURE	Confirmation Number	6920		
STATEMENT BY APPLICANT	Filing Date	July 7, 2002		
	First Named Inventor	Shigeyuki AINO		
(use as many sheets as necessary)	Art Unit	2184		
OIPE	Examiner Name	Unknown		
Sheet 1 C of 1	Attorney Docket Number	Q76416	•	
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	(- WOV	0 7 2003 <u>u</u>	U.S.	PATENT DOCU	MENTS
Examiner Initials*	No. 11	ADEMAR Vamber	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 2002/0065996	Al	05-30-2002	Garnett et al.
		US			
	,	US			
		US			

			F	OREIGN PA	TENT DOCUM	ENTS	
Examiner Cite Initials* No.1	Fo	Foreign Patent Document			Name of Patentee or		
		Country Code ³	Number⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		EP	0 411 805	B1	10-09-1996	Digital Equipment Corp.	
		EP	0 757 315	A2	02-05-1997	Tandem Computers Inc.	
		WO	00/60463	A1	10/12/2000	Marathon Technologies Corp.	
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NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		Translation ⁶		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.